

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

24741-1523

U.S. APPLICATION NO. (If known) see 37 CFR 1.55

097807509

INTERNATIONAL APPLICATION NO.
PCT/EP99/08678

INTERNATIONAL FILING DATE
11 Nov mb r 1999

PRIORITY DATE CLAIMED
16 Nov mb r 1998

TITLE OF INVENTION

**PROCESS FOR PREPARING ANTIBODIES AGAINST A POLYPEPTIDE IN WHICH THE NUCLEIC ACID
ENCODING THE PEPTIDE IS KNOWN (as amended)**

APPLICANT(S) FOR DO/EO/US

Fritz GRUNERT, John THOMPSON, Wolfgang ZIMMERMANN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371©(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371 ©(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371©(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371©(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371©(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371©(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: **Notification of the Recording of a Change, Mark d-up copy of amendments to claims, Unex cut d Declarati n**

U.S. APPLICATION NO. If known, see 37 CFR 1.55

-NEW

097/807509

INTERNATIONAL APPLICATION NO.

PCT/EP99/08678

ATTORNEY'S DOCKET NUMBER

24741-1523

17. ☐ The following fees are submitted:**CALCULATIONS**

PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Neither international preliminary examination fee (CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 ___ 30 ___ months from the earliest claimed priority date (37 CFR 1.492(e)) \$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	18 -20 =	0	X \$18.00
Independent Claims	1-3 =	0	X \$80.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0 + \$270.00 \$

TOTAL OF ABOVE CALCULATIONS = \$

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). \$

SUBTOTAL = \$860.00

Processing fee of \$130.00 for furnishing English translation later the 20 ___ 30 ___ months from the earliest claimed priority date (37 CFR 1.492(f)). + \$

TOTAL NATIONAL FEE = \$860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$

TOTAL FEES ENCLOSED = \$860.00

Amount to be:
refunded \$

charged: \$

a. ☒ A check in the amount of \$860 to cover the above fees is enclosed.

b. ___ Please charge my Deposit Account No. 08-1641 in the amount of ___ to the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1641. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Patricia D. Granados
SIGNATURE/DATE

April 16, 2001

Patricia D. Granados
NAME

**26633**

33,683
REGISTRATION NUMBER

PATENT TRADEMARK OFFICE